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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Trudeau et al.Application No./Patent No.: 09/994,088 Filed/Issue Date: November 14, 2001Entitled: METHOD AND APPARATUS FOR CLAMPING SURGICAL WIRES OR CABLESPioneer Laboratories, Inc.

(Name of Assignee)

a Corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012330, Frame 0377, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Stephen S. Favakeh
Signature

August 23, 2007

Date

Stephen S. Favakeh

Printed or Typed Name

312-577-7000

Telephone Number

Attorney for Applicants

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JANUARY 24, 2002

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/14/2001

REEL/FRAME: 012330/0377
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KILPELA, THOMAS S.

DOC DATE: 11/13/2001

ASSIGNOR:

TRUDEAU, JEFFREY L.

DOC DATE: 11/13/2001

ASSIGNOR:

JANOWSKI, BRIAN P.

DOC DATE: 11/13/2001

ASSIGNEE:

PIONEER LABORATORIES, INC.
375 RIVER PARK CIRCLE
MARQUETTE, MICHIGAN 49855

SERIAL NUMBER: 09994088
PATENT NUMBER:

FILING DATE: 11/14/2001
ISSUE DATE:

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012330/0377 PAGE 2

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12-05-2001



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101908028

To the Honorable Commissioner of Pat.

Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas S. Kilpela
Jeffrey L. Trudeau
Brian P. Janowski

11/14/01

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Pioneer Laboratories, Inc.

Internal Address:

Street Address: 375 River Park Circle

City: Marquette State: MI Zip: 49855

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: November 13, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Erik S. Maurer

Internal Address: Banner & Witcoff, Ltd.

Street Address: Ten South Wacker Drive

City: Chicago State: IL Zip: 60606

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

01-0850

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Erik S. Maurer

Name of Person Signing

Erik S. Maurer

Signature

November 14, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

12/04/2001 6TON11 00000079 010850 09994008

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1059 U.S. PTO
109/994008

ASSIGNMENT

Case No.: 004608.00003

Inventors: Jeffrey L. Trudeau, Thomas S. Kilpela and Brian P. Janowski

Serial No.

Date of Execution

of Application:

Filing Date:

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to:

Pioneer Laboratories, Inc., a corporation of the State of Michigan

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in a continuation-in-part application for Letters Patent of the United States, entitled:

Method and Apparatus for Clamping Surgical Wires or Cables

and identified as:

Atty. Docket No. 004608.00003

in the offices of Banner & Witcoff, Ltd. and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrant themselves to be the owners of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorize said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignees, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignees, its successors and assigns, whereby said

Letters Patent will be held and enjoyed by the said assignees, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this 13th day of November, 2001.

Jeffrey L. Trudeau
Jeffrey L. Trudeau

State of **MICHIGAN**

County of **MARQUETTE**

The foregoing instrument was acknowledged before me this 13th day of

November, 2001 by

KELLY HERRIMAN

Notary Public, Marquette County, Michigan.
My Commission Expires May 1, 2002.

Kelly Herriman
NOTARY PUBLIC

WITNESS my hand and seal this 14th day of November, 2001.

Thomas S. Kilpela
Thomas S. Kilpela

State of **MICHIGAN**

County of **MARQUETTE**

The foregoing instrument was acknowledged before me this 14th day of

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Kelly Herriman
NOTARY PUBLIC

WITNESS my hand and seal this 13th day of November, 2001.

Brian P. Janowski
Brian P. Janowski

State of **MICHIGAN**

County of **MARQUETTE**

The foregoing instrument was acknowledged before me this 13th day of

November, 2001 by

KELLY HERRIMAN

Notary Public, Marquette County, Michigan.
My Commission Expires May 1, 2002.

Kelly Herriman
NOTARY PUBLIC